

Fees and Charges Schedule		Effective Date : 17 <sup>th</sup> November 2023
Service Items	Charges (HKD)	Remarks (HKD)
<b>Hong Kong Stock Trading Services</b>		
Trading Commission	0.25%	Minimum charge \$100
Transaction Levy (SFC)	0.0027%	
Trading Fee (HKEx)	0.00565%	
Stamp Duty	0.1%	Round up to the nearest dollar
CCASS Clearing Fee	0.002%	Minimum charge \$2
FRC Transaction Levy	0.00015%	Round up to the nearest cent
<b>Physical Stock Withdrawal/Deposit Charges</b>		
Physical Stock Withdrawal	\$6 per board/odd lot	Minimum charge \$100
Security Transfer ( SI )	Deposit: free of charge Withdrawal: \$5 per board/odd lot	Minimum charge \$50
Investor Settlement Instruction via CCASS ( ISI )	Deposit: free of charge Withdrawal: \$10 per transaction	
Transfer Deed Stamp Duty	\$5 per Transfer Deed	
<b>Nominee Services</b>		
Stock Custody Fee	Free of charge	
Dividend Collection	0.5% on gross dividend amount plus transfer fee of \$1.5 per board/odd lot	Minimum charge \$20
Bonus Entitlement Collection (including bonus share, warrant, share option)	\$30 per transaction plus transfer fee of \$1.5 per board/odd lot	
Rights, Exercise of Call and Put Option, Corporate Actions	\$50 per transaction plus corporate action fee of \$0.8 per board/odd lot	
Claiming Unclaimed Benefits Entitlement	0.5% on unclaimed benefits entitlement amount plus CCASS fee	Minimum charge \$500
<b>Other Services</b>		
IPO Application (Non-financing)	\$50 per application	
IPO Application (Financing)	\$100 per application	
Local Bank Transfer ( CHATS )	\$200 per transfer	
Telegraphic Transfer ( Local )	\$200 per transfer	
Telegraphic Transfer ( Overseas )	\$200per transfer plus correspondent bank's charges (if applicable)	
Returned Cheque	\$200 per cheque	
Reprint Monthly Statements	\$50 per statement	Free of charge within 3 months
Interest Rate on Cash Account	Prime Rate+6%	
Interest Rate on Margin Account	Prime Rate+4%	

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Fees and Charges Schedule		Effective Date : 17 <sup>th</sup> November 2023
Service Items	Charges (USD)	Remarks (USD)
<b>US Stock Trading Services</b>		
Trading Commission	0.25%	Minimum charge \$30 <b>per order</b>
SEC Fees – NYSE, NASDAQ, OTC Bulletin Board, OTC	Rate subject to change irregularly per calendar year based on US SEC policy. Minimum USD0.01 ( No maximum limit ) Applicable per SELL trade only	
non DTC eligible Stock clearing Fees - OTC Bulletin Board, OTC	Maximum amount is USD75 per trade. The chargeable fees may exceed the order consideration.	
ADRs Fee	USD 0.01 - 0.05 (Per share per book close collected by the ADR issuers)	

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Fees and Charges Schedule		Effective Date : 17 <sup>th</sup> November 2023
Service Items	Charges (RMB)	Remarks (RMB)
<b>Shanghai and Shenzhen Connect Trading Services</b>		
Trading Commission	0.25%	Minimum charge \$100
Handling Fee	0.00341%	
Securities Management Fee	0.002%	
Transfer Fee(China)	0.001%	
Transfer Fee(Hong Kong)	0.002%	
Stamp Duty	0.05% of transaction amount on the seller	
<b>Physical Stock Withdrawal/Deposit Charges</b>		
Security Transfer ( SI )	Deposit: free of charge Withdrawal: \$5 per board/odd lot	Minimum charge \$50
<b>Nominee Services</b>		
Portfolio Fee	Daily stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis )	
Dividend Collection	0.5% on gross dividend amount plus transfer fee of \$1.5 per board/odd lot	Minimum charge \$20
Corporate Actions	\$0.8 per board/odd lot	
<b>Other Services</b>		
Telegraphic Transfer	\$300 per transfer	
Returned Cheque	\$200 per transfer	

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